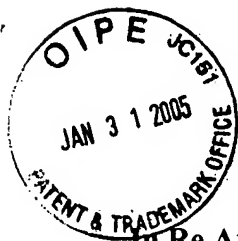


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**DOCKET NO.: MSFT-2850/306820.01**

**PATENT**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In Re Application of:**

**Rajeev B. Rajan, et al.**

**Confirmation No.: 8383**

**Application No.: 10/692,227**

**Group Art Unit: 2171**

**Filing Date: October 23, 2003**

**Examiner: Not Yet Assigned**

**For: SYSTEM AND METHOD FOR STORING AND RETRIEVING A FIELD OF A  
USER DEFINED TYPE OUTSIDE OF A DATABASE STORE IN WHICH  
THE TYPE IS DEFINED**

**DATE OF DEPOSIT:**

*Jan 27, 2005*

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*Steven B. Samuels*

**TYPED NAME: Steven B. Samuels  
REGISTRATION NO.: 37,711**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
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Dear Sir:

### **INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).



In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified

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**DOCKET NO.: MSFT-2850/306820.01 - 2 -**

**PATENT**

application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **1 thru 22** listed on the attached Form PTO-1449 are enclosed herewith.

- ☐ Copies of reference numbers **23 thru 44** on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for

DOCKET NO.: MSFT-2850/306820.01 - 3 -

PATENT

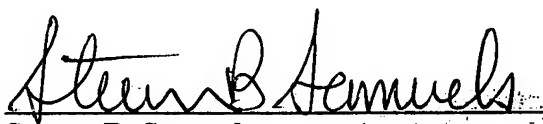
which a claim for priority under 35 U.S.C. § 120 has been made in the  
instant application.

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-  
3050. This form is submitted in duplicate.

Date:

Jan. 27, 2005



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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>MSFT-2850/306820.01</b>	Application No. <b>10/692,227</b>
	Applicant <b>Rajeev B. Rajan, et al.</b>	
	Filing Date <b>October 23, 2003</b>	Group <b>2171</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>		
	<b>1</b>	Andrews, T. et al., "Combining Language and Database Advances in an Object-Oriented Development Environment", <i>OOPSLA Proceedings</i> , <b>October 4-8, 1987</b> , 430-440
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	<b>3</b>	Biliris, A., "The Performance of Three Database Storage Structures for Managing Large Objects", <i>ACM SIGMOD</i> , <b>1992</b> , 276-285
	<b>4</b>	Buneman, P. et al., "Inheritance and Persistence in Database Programming Languages", <i>ACM</i> , <b>1986</b> , 4-15
	<b>5</b>	Hsiao, H.I. et al., "DLFM: A Transactional Resource Manager", <i>MOD</i> , <b>2000</b> , 518-528
	<b>6</b>	Khan, L. et al., "A Performance Evaluation of Storing XML Data in Relational Database Management Systems", <i>WIDM</i> , <b>2001</b> , 31-38
	<b>7</b>	Leontiev, Y. et al., "On Type Systems for Object-Oriented Database Programming Languages", <i>ACM Computing Surveys</i> , <b>December 2002</b> , 34(4), 409-449
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11	Varlamis, I. et al., "Bridging XML-Schema and Relational Databases. A System for Generating and Manipulating Relational Databases Using Valid XML Documents", <i>DocEng'OL</i> , <b>November 9-10, 2001</b> , 105-114	
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13	Findler, R.B. et al., "Contract Soundness for Object-Oriented Languages" <i>ACM Conference on Object-Oriented Programming Systems, Languages, and Applications, OOPSLA</i> , <b>2001</b> , 15 pages	
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	<b>21</b>	Haverlock, K., "Object Serialization, Java, and C++", <i>Dr. Dobbs. Journal</i> , 1998, 23(8), 32, 34, 36-37	
	<b>22</b>	King, et al., "TriStarp-An Investigation into the Implementation and Exploitation of Binary Relational Storage Structures", <i>Proc. 8 Suppl BNCOD(British National Conference on Data Bases)</i> , 1990, 64-84	
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	Filing Date <b>October 23, 2003</b>	Group <b>2171</b>

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	24	6,199,100	03/06/01	Filepp, et al.	709	203
	25	6,223,344	04/24/01	Gerard, et al.	717	170
	26	6,708,196	03/16/04	Atkinson, et al.	718	102
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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

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	38	6,519,597	02/11/03	Cheng, et al.	707	10
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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

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